## Stages of Readiness "Principles"

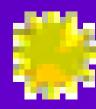
- Motivation exists along a continuum of readiness;
- Clients progress through stages in a spiral (not linear);
- When enhancing motivation, if the clinicians use strategies in a stage other than the one the client is in, the result could be treatment noncompliance;
- If clinicians push clients at a faster pace than they are ready to take, the therapeutic alliance may break down;
- Relapse is an event, not a stage.





### Stage of Change Model Prochaska & DiClemente (1992)

- 1. PRECONTEMPLATION
- 2. CONTEMPLATION
- 3. PREPARATION
- 4. ACTION
- 5. MAINTENANCE

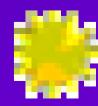




### Stage 1: Pre-Contemplation

Individual is unaware, unable, unwilling to change. No intent to change.

- Indicators: argue, interrupt, deny, ignore, avoid reading, talking, or thinking about the behavior...
- Individual is traditionally characterized as resistant, unmotivated.





# Stage 1: Pre-Contemplation: Clinical Tools

- Establish rapport
- Determine why the client has come to you.
- Introduce ambivalence about recovery why it might be a good idea, e.g., "why do you thing other people decide to manage their disorders?"
- Give information on risks, pros & cons of use
- Acknowledge the client's thoughts, feelings, fears, and concerns.
- Keep the interview informal

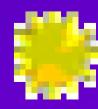




### **Stage 2: Contemplation**

Individual is <u>ambivalent or uncertain</u> regarding behavior change.

- Indicators: Individual meets clinician "half way," willing to look at pro and cons of behavior change
- Individual is traditionally characterized as unpredictable, time intensive.





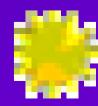
# Stage 2: Contemplation Clinical Tools

- Discuss & weigh pros/cons of recovery
- Emphasize client's free choice & responsibility
- Elicit self-motivational statements
- Discuss the client's goals in life, how will recovery affect these?
- Reduce the fear of recovery through example and problem solving
- Ask questions that clarify motivation e.g., "what's most important to you... why?"



### Stage 3: Preparation

- Individual shifts from thinking about behavior change to planning first steps.
- Indicators: Individual asks questions, considers options, demonstrates openness in considering behavior change.
- Individual is traditionally characterized as compliant, "coming along,", "good to work with."





# Stage 3: Preparation Clinical Tools

- Support efforts to change
- Clarify goals & identify successful strategies
- Structure plan of action with client based on client's history and willingness, allowing client to decide
- Encourage client to commit to action
- Give client clear, consistent and unequivocal message about recovery



### Stage 4: Action

- Individual demonstrates steps toward behavior change such as periods of abstinence.
- Indicators: Individual is receptive to clinical interventions. Treatment compliance is normal. Demonstrated behavior change affects positive outcomes in other areas (i.e., relationships at home and work).
- Individual is traditionally characterized as "successful," "working the program."



# Stage 4: Action Clinical Tools

- Seek commitment to specific behavioral change at each session
- Acknowledge difficulties, support even minimal progress
- Identify risky situations, triggers & coping strategies
- Help client find new reinforcers
- Support perseverance ("Sticking to the plan")





### Stage 5: Maintenance

### Individual sustains treatment goals.

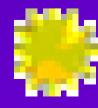
- Indicators: Individual has made behavior changes, practices stable abstinence, met treatment goals, and practices healthy coping strategies.
- Individual is traditionally characterized as "ready for successful discharge," "no longer needing treatment," "ready for aftercare."

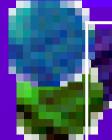




# Stage 5: Maintenance Clinical Tools

- Support and affirm changes
- Rehearse new coping strategies and countermeasures to triggers
- Review personal growth long-term goals
- Encourage client to contribute to recovery of others





## Appropriate Motivational Strategies for Each Stage of Change

Client's Stage of Change	Appropriate Motivational Strategies for the Clinician
Stage 1: Precontemplation	©Establish rapport, ask permission, and build trust.
The client is not yet considering change or is unwilling or unable to	Raise doubts or concerns in the client about substance-using patterns
change.	Express concern and

keep the door open

## Client's Stage of Change

## Appropriate Motivational Strategies for the Clinician

### Stage 2: Contemplation

The client acknowledges concerns and is considering the possibility of change but is ambivalent and uncertain.

- Normalize ambivalence.
- Help the client "tip the decisional balance scales" toward change
- Elicit and summarize selfmotivational statements of intent and commitment from the client.
- Elicit ideas regarding the client's perceived self-efficacy and expectations regarding treatment.

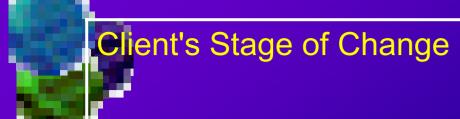
### Client's Stage of Change

## Appropriate Motivational Strategies for the Clinician

### **Stage 3: Preparation**

The client is committed to and planning to make a change in the near future but is still considering what to do.

- ©Explore treatment expectancies and the client's role.
- Clarify the client's own goals
- Negotiate a change--or treatment--plan and behavior contract.
- Consider and lower barriers to change.
- SHelp the client enlist social support.



## Appropriate Motivational Strategies for the Clinician

### Stage 4: Action

The client is actively taking steps to change but has not yet reached a stable state.

- Engage the client in treatment and reinforce the importance of remaining in recovery.
- Acknowledge difficulties for the client in early stages of change.
- Help the client identify high-risk situations through a functional analysis and develop appropriate coping strategies to overcome these.



### Appropriate Motivational Strategies for the Clinician

### Stage 5: Maintenance

The client has achieved initial goals such as abstinence and is now working to maintain gains.

- Support lifestyle changes.
- Affirm the client's resolve and self-efficacy.
- Help the client practice and use new coping strategies to avoid a return to use.
- Develop a "fire escape" plan if the client resumes substance use.
- Review long-term goals with the client.